

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): S. KATOH et al
Serial No.: (New continuation application under 37 CFR §1.53[b] of
U.S. Application Serial No. 10/099,950)
Filed: August 12, 2003
For: SEMICONDUCTOR POWER CONVERTING APPARATUS
Group: 2816
Examiner: K. WELLS

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.97 & §1.98

MS: PATENT APPLICATIONS

Commissioner For Patents
POB 1450
Alexandria, VA 22313-1450

August 12, 2003

Sir:

In the matter of the above-identified application, applicants are attaching hereto, in a form equivalent to Form PTO-1449, a listing of all the art documents of record (cited by the Examiner/submitted by applicants) in the chain of continuing applications, pursuant to the duty of disclosure/candor requirements.

This Information Disclosure Statement is being submitted concurrently with the filing of the above-identified continuation application and, therefore, this IDS meets the requirements pursuant to 37 CFR §1.97(b).

As to the requirement of 37 CFR §1.98(a)(3) for any concise explanation of relevance to the extent that any of the listed documents is not in the English language, this is met by the discussion thereof in the Background of the Invention section, on pages 1-2 of the Specification, and the submission of an English abstract thereof.

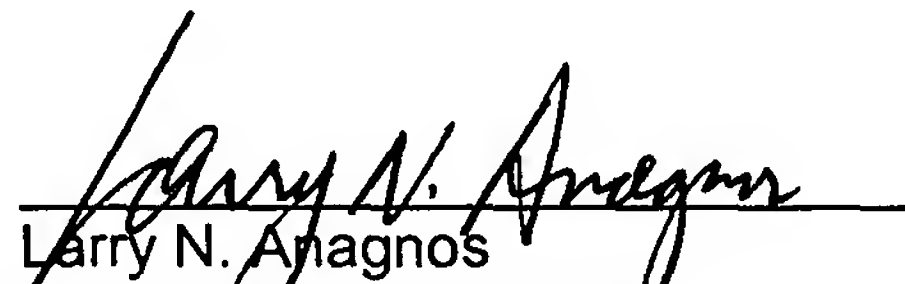
It is understood that since copies of the listed documents should be available in the file wrapper of the prior application, copies thereof are not included herewith, consistent with 37 CFR §1.98(d) and pursuant to the guidelines set forth in MPEP §609.

Applicants would also like to bring to the Examiner's attention a sister application, namely, U.S. Application Serial No. 10/436,265, filed May 13, 2003, which is a continuation, also, of the same prior, original U.S. Application as that of the present application.

It is submitted this IDS is in compliance with the rules of practice as well as with USPTO guidelines. Therefore, applicants respectfully request that it be entered and duly considered by the USPTO. Also, acknowledgment of entry as well as of formal consideration thereof by the Examiner is respectfully requested.

If any costs are due in accordance with the filing of this Information Disclosure Statement, please charge same to the account of Antonelli, Terry, Stout & Kraus, LLP, Account No. 01-2135 (500.41508CX2).

Respectfully submitted,
ANTONELLI, TERRY, STOUT & KRAUS, LLP


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Registration No. 32,392

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known		
				Application Number	R53(b) CONT. of S.N. 10/099,950	
				Filing Date	August 12, 2003	
				First Named Inventor	KATOH, S.	
				Art Unit	2816	
Examiner Name	K. WELLS					
Attorney Docket Number	500.41508CX2					
Sheet	1	of	2			

U.S. PATENT DOCUMENTS						
Examiner Initials'	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
		US- 5,210,479		05-1993	Kimura et al	
		US- 4,949,213		09-1990	Sasagawa et al	
		US- 4,721,869		01-1988	Okado	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials'	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
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Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	R53(b) CONT. of S.N. 10/099,950
				Filing Date	August 12, 2003
				First Named Inventor	KATOH, S.
				Group Art Unit	2816
				Examiner Name	K. WELLS
Sheet	2	of	2	Attorney Docket Number	500.41508CX2

OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), <small><i>publisher, city and/or country where published</i></small>	T ²
		IPEC 2000, pgs. 670-675.	
		The published abstract of the Japanese Electric Society Industrial Application Department Meeting in 1999, vol. 2, pp. 119-120.	

Examiner Signature		Date Considered	
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